# Senate



General Assembly

File No. 427

February Session, 2008

Senate Bill No. 576

Senate, April 3, 2008

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The Committee on Public Health reported through SEN. HANDLEY of the 4th Dist., Chairperson of the Committee on the part of the Senate, that the bill ought to pass.

# AN ACT CONCERNING PATIENT ACCESS TO LABORATORY TEST RESULTS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. Section 20-7c of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2008*):
- 3 (a) For purposes of this section, "provider" has the same meaning as 4 provided in section 20-7b.
  - (b) (1) A provider, except as provided in section 4-194, shall supply to a patient upon request complete and current information possessed by that provider concerning any diagnosis, treatment and prognosis of the patient. (2) A provider shall notify a patient of any test results in the provider's possession or requested by the provider for the purposes of diagnosis, treatment or prognosis of such patient. A provider, who requests that his or her patient submit to medical
- 12 <u>testing for purposes of ascertaining a diagnosis, treatment or prognosis</u>
- 13 for such patient, shall authorize the entity conducting such medical

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testing to directly communicate the results of such testing to the 14 15 patient, unless the provider reasonably determines that the 16 information that may be transmitted to the patient would be 17 detrimental to the physical or mental health of the patient, or may 18 result in the patient causing harm to himself, herself or another. In any 19 case where a provider has determined that direct access by the patient 20 to the results of a medical test would not be in the best interests of the 21 patient, the provider shall communicate the reasons for such 22 determination to the patient.

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- (c) Upon a written request of a patient, a patient's attorney or authorized representative, or pursuant to a written authorization, a provider, except as provided in section 4-194, shall furnish to the person making such request a copy of the patient's health record, including but not limited to, bills, x-rays and copies of laboratory reports, contact lens specifications based on examinations and final contact lens fittings given within the preceding three months or such longer period of time as determined by the provider but no longer than six months, records of prescriptions and other technical information used in assessing the patient's health condition. No provider shall charge more than forty-five cents per page, including any research fees, handling fees or related costs, and the cost of first class postage, if applicable, for furnishing a health record pursuant to this subsection, except such provider may charge a patient the amount necessary to cover the cost of materials for furnishing a copy of an xray, provided no such charge shall be made for furnishing a health record or part thereof to a patient, a patient's attorney or authorized representative if the record or part thereof is necessary for the purpose of supporting a claim or appeal under any provision of the Social Security Act and the request is accompanied by documentation of the claim or appeal. A provider shall furnish a health record requested pursuant to this section within thirty days of the request.
- (d) If a provider reasonably determines that the information is detrimental to the physical or mental health of the patient, or is likely to cause the patient to harm himself or another, the provider may

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withhold the information from the patient. The information may be supplied to an appropriate third party or to another provider who may release the information to the patient. If disclosure of information is refused by a provider under this subsection, any person aggrieved thereby may, within thirty days of such refusal, petition the superior court for the judicial district in which such person resides for an order requiring the provider to disclose the information. Such a proceeding shall be privileged with respect to assignment for trial. The court, after hearing and an in camera review of the information in question, shall issue the order requested unless it determines that such disclosure would be detrimental to the physical or mental health of the person or is likely to cause the person to harm himself or another.

(e) The provisions of this section shall not apply to any information relative to any psychiatric or psychological problems or conditions.

This act shall take effect as follows and shall amend the following					
sections:					
Section 1	October 1, 2008	20-7c			

**PH** Joint Favorable

The following fiscal impact statement and bill analysis are prepared for the benefit of members of the General Assembly, solely for the purpose of information, summarization, and explanation, and do not represent the intent of the General Assembly or either chamber thereof for any purpose:

#### **OFA Fiscal Note**

## State Impact:

Agency Affected	Fund-Effect	FY 09 \$	FY 10 \$
Public Health, Dept.	GF - Cost	174,100	185,700
Comptroller Misc. Accounts	GF - Cost	20,760	64,000
(Fringe Benefits) <sup>1</sup>			

Note: GF=General Fund

## Municipal Impact: None

## **Explanation**

The Department of Public Health will require additional resources to enable it to directly communicate laboratory test results to patients. The State Public Health Laboratory conducts almost 200,000 medical tests each year.

FY 09 costs of \$174,100 would be incurred, reflecting the three-quarter salaries of 3 Clerk Typists, other expenses (including approximately \$75,000 in printing and mailing expenses), and one-time equipment expenses. In FY 10, the annualized costs associated with this staffing expansion would be \$185,700. Additional fringe benefit costs (\$20,760 FY 09; \$64,000 FY 10) would also be incurred.

No funding has been included within sHB 5021 (the Revised FY 09 Appropriations Act, as favorably reported by the Appropriations Committee) for this purpose.

<sup>&</sup>lt;sup>1</sup> The fringe benefit costs for state employees are budgeted centrally in the Miscellaneous Accounts administered by the Comptroller. The first year fringe benefit costs for new positions do not include pension costs. The estimated first year fringe benefit rate as a percentage of payroll is 25.36%. The state's pension contribution is based upon the prior year's certification by the actuary for the State Employees Retirement System (SERS). The SERS fringe benefit rate is 33.27%, which when combined with the rate for non-pension fringe benefits totals 58.63%.

### The Out Years

The DPH is just beginning a multi-year effort to replace the State Public Health Laboratory's information management system (IMS). It is not yet known to what extent the new IMS (once its capabilities are developed and put into operation) might be relied upon to perform automated communication of test results. Should this functionality be incorporated into the new IMS, reduced costs of reporting test results to patients would be expected. It is likely that the new system will not be operational prior to FY 11.

Should an automated communication process not be incorporated into the new IMS, the annualized cost identified above would continue subject to inflation.

# OLR Bill Analysis SB 576

# AN ACT CONCERNING PATIENT ACCESS TO LABORATORY TEST RESULTS.

## SUMMARY:

This bill gives patients direct access to their medical test results unless the health care provider reasonably determines that such access would be harmful to the patient's physical or mental health.

EFFECTIVE DATE: October 1, 2008

#### DIRECT ACCESS TO MEDICAL TEST RESULTS

Under the bill, a health care provider who requests that his or her patient undergo medical testing for diagnostic, treatment, or prognosis purposes, must authorize the testing entity to provide the test results directly to the patient. Such direct reporting is not required if the provider reasonably determines that the information to be provided would be detrimental to the patient's physical or mental health, or may result in the patient harming himself or another.

The provider must give the patient the reasons for not authorizing direct access to the test results.

By law, "provider" means any person or organization licensed or certified to furnish health care services. This covers physicians, chiropractors, natureopaths, podiatrists, athletic trainers, physical therapists, occupational therapists, alcohol and drug counselors, radiographers and radiologic technologists, midwives, nurses and nurse's aides, dentists and dental hygienists, optometrists, opticians, respiratory care practitioners, perfusionists, pharmacists, psychologists, marital and family therapists, clinical social workers,

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professional counselors, veterinarians, massage therapists, electrologists, hearing aid dealers, speech pathologists and audiologists, and emergency medical service providers (CGS § 20-7b(b)).

### **BACKGROUND**

## Department of Public Health (DPH) Regulations

Existing DPH regulations provide that "laboratory findings on a specimen shall be reported directly to the licensed provider who ordered the testing and may be provided by laboratories other than the department's laboratory to lay persons upon the written request of the provider who ordered the testing" (Conn. Agencies Reg. § 19a-36-D32).

## **COMMITTEE ACTION**

Public Health Committee

Joint Favorable Yea 16 Nay 12 (03/14/2008)

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